

POSITIVE SOLUTIONS OF SYSTEMS OF HAMMERSTEIN INTEGRAL EQUATIONS

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Dedicated to Professor J. R. L. Webb's retirement

ABSTRACT. New results on existence of positive solutions in $L^p(\Omega, \mathbb{R}^n)$ of systems of Hammerstein integral equations are obtained by using Leray-Schauder fixed point theorem. The principal eigenvalues of the corresponding linear Hammerstein integral equations are employed. Our results improve some previous results on existence of (not necessarily positive) solutions in $L^p(\Omega)$ of a single Hammerstein integral equation.

AMS (MOS) Subject Classification. Primary: 45G15; Secondary: 47H10, 47H30

Key words. systems of Hammerstein integral equations, positive solutions, Leray-Schauder fixed point theorem.

1. INTRODUCTION

We are interested in existence of positive solutions in $L^p(\Omega, \mathbb{R}^n)$ of systems of Hammerstein integral equations of the form

$$z_i(t) = g_i(t) + \int_{\Omega} k(t, s) f_i(s, \mathbf{z}(s)) ds \quad \text{for a.e. } t \in \Omega \text{ and } i \in I_n, \quad (1.1)$$

where $\Omega \subset \mathbb{R}^m$ and $I_n = \{1, \dots, n\}$.

When $n = 1$, existence of one (not necessarily positive) solution in $L^p(\Omega)$ of (1.1) is studied in [19], where $\Omega = [0, 1]$ and the nonlinear alternative theorem of Leray-Schauder type is used, and in [18], where $\Omega = [a, b]$ and Schaefer's fixed point theorem is used. None of these results use the principal eigenvalue of the corresponding linear Hammerstein integral equation. When $g_i(t) \equiv 0$, the existence of at least one solution in $L^p(\Omega)$ of (1.1) with $n = 1$ was studied by Krasnosel'skii [9] (also see [10, Chapter VI]) and existence of nonzero solutions is studied in [3, 6] under the superlinear conditions involving the principal eigenvalue of the corresponding linear integral equation. We refer to [16, 17, 20, 21] for the study of existence of solutions in $L^1[0, 1]$, where measures of noncompactness are involved.

In this paper, by using Leray-Schauder theorem, we prove new results on existence of positive solutions in $L^p(\Omega, \mathbb{R}^n)$ of the system (1.1), where the principal eigenvalue of the corresponding linear Hammerstein integral equation is involved. Unlike the study on existence of positive solutions in $C(\Omega, \mathbb{R}^n)$, where a smaller cone than the standard cone $C(\Omega, \mathbb{R}_+^n)$ is considered (see [1, 4, 5, 8, 12, 13, 14, 15, 22]), only the standard cone $L^p(\Omega, \mathbb{R}_+^n)$ can be applied here. Therefore, there is difficulty to obtain results on existence of one or several nonzero positive solutions in $L^p(\Omega, \mathbb{R}_+^n)$ of (1.1). We refer to [11] for the study of nonzero positive solutions of systems of elliptic boundary value problems, where only the standard cone $C(\Omega, \mathbb{R}_+^n)$ is applied.

As illustrations of our results, we consider existence of positive solutions in $L^p(\Omega, \mathbb{R}_+^n)$ of the following systems

$$z_i(t) = g_i(t) + \int_{\Omega} k(t, s)[a_i(s) + u_i(s)|\mathbf{z}|_0^{\alpha_i}(s) + v_i(s)|\mathbf{z}|_0^{\beta_i}(s)] ds \quad \text{a.e. on } \Omega \text{ and } i \in I_n,$$

where $|\cdot|_0$ denotes a norm in \mathbb{R}^n . Specific functions g_i and kernels k are provided.

2. POSITIVE SOLUTIONS OF SYSTEMS OF HAMMERSTEIN INTEGRAL EQUATIONS

In this section, we study existence of positive solutions in $L^p(\Omega, \mathbb{R}^n)$ of systems of Hammerstein integral equations of the form

$$z_i(t) = g_i(t) + \int_{\Omega} k(t, s)f_i(s, \mathbf{z}(s)) ds \quad \text{for a.e. } t \in \Omega \text{ and } i \in I_n, \tag{2.1}$$

where $\mathbf{z}(s) = (z_1(s), \dots, z_n(s))$ and $\Omega \subset \mathbb{R}^m$ is measurable with $0 < \text{meas}(\Omega) < \infty$.

We use the following maximum norm in \mathbb{R}^n :

$$|\mathbf{z}| = \max\{|z_i| : i \in I_n\}, \tag{2.2}$$

where $\mathbf{z} = (z_1, \dots, z_n) \in \mathbb{R}^n$. We define

$$(\mathbb{R}_+^n)_I = \{\mathbf{z} \in \mathbb{R}_+^n : |\mathbf{z}| \in I\}, \tag{2.3}$$

where $I = [a, b]$ if $a, b \in [0, \infty)$ with $a \leq b$ and $I = [a, b)$ if $a, b \in [0, \infty]$ with $a < b$.

Let $p, q \in (1, \infty)$ be such that $\frac{1}{p} + \frac{1}{q} = 1$. We list the following conditions:

- (h₁) For each $i \in I_n$, $g_i \in L^p(\Omega)$.
- (h₂) $k \in L^p(\Omega \times \Omega)$.
- (h₃) For each $i \in I_n$, $f_i : \Omega \times \mathbb{R}_+^n \rightarrow \mathbb{R}_+$ satisfies Carathéodory conditions, that is, $f(\cdot, \mathbf{z})$ is measurable on Ω for each fixed $\mathbf{z} \in \mathbb{R}_+^n$ and $f(s, \cdot)$ is continuous on \mathbb{R}_+^n for a.e. $s \in \Omega$, and there exist $a_i \in L_+^q(\Omega)$ and $b_i > 0$ such that

$$f_i(s, \mathbf{z}) \leq a_i(s) + b_i|\mathbf{z}|^{p-1} \quad \text{for a.e. } s \in \Omega \text{ and all } \mathbf{z} \in \mathbb{R}_+^n. \tag{2.4}$$

- (h₄) For each $i \in I_n$, $f_i : \Omega \times \mathbb{R}_+^n \rightarrow \mathbb{R}_+$ satisfies Carathéodory conditions, and for each $r > 0$ there exist $a_{i,r} \in L_+^q(\Omega)$ such that

$$f_i(s, \mathbf{z}) \leq a_{i,r}(s) \quad \text{for a.e. } s \in \Omega \text{ and all } \mathbf{z} \in (\mathbb{R}_+^n)_{[0,r]}. \tag{2.5}$$

When $n = 1$, (h_1) - (h_3) are used in [18, 19]. It is obvious that (h_3) implies (h_4) . We shall see that (h_4) together with some additional conditions implies (h_3) (see Theorem 2.4 below).

We can write (2.1) into the following fixed point equation:

$$\mathbf{z}(t) = (g_1(t), \dots, g_n(t)) + (L(F_1\mathbf{z})(t), \dots, L(F_n\mathbf{z})(t)) := A\mathbf{z}(t) \quad \text{a.e. on } \Omega, \quad (2.6)$$

where

$$(F_i\mathbf{z})(t) = f_i(t, \mathbf{z}(t)) \quad \text{for } i \in I_n \quad (2.7)$$

and

$$(Lu)(t) = \int_{\Omega} k(t, s)u(s) ds. \quad (2.8)$$

We write $L^p(\Omega) = L^p(\Omega, \mathbb{R})$, $L^p_+(\Omega) = L^p(\Omega, \mathbb{R}_+)$ and $\|\cdot\| = \|\cdot\|_{L^p(\Omega)}$. We use the following norm in $L^p(\Omega, \mathbb{R}^n)$: for $\mathbf{z} = (z_1, \dots, z_n) \in L^p(\Omega, \mathbb{R}^n)$, let

$$\|\mathbf{z}\| = \max\{\|z_i\|_{L^p(\Omega)} : i \in I_n\}.$$

Let $P = L^p(\Omega, \mathbb{R}^n_+)$ be the standard positive cone in $L^p(\Omega, \mathbb{R}^n)$.

The following results show that the linear operator L defined in (2.8) and the map A defined in (2.6) are compact.

Lemma 2.1. (i) Under the hypothesis (h_2) the linear operator L defined in (2.8) maps $L^q(\Omega)$ into $L^p(\Omega)$ and is compact. Moreover, $L(L^q_+(\Omega)) \subset L^p_+(\Omega)$.

(ii) Under the hypotheses (h_1) - (h_3) , the map A defined in (2.6) maps P into P and is compact.

Proof. (i) Since $\text{meas}(\Omega) \in (0, \infty)$, it follows from (h_2) and a result mentioned in [10, page 19] that $L : L^q(\Omega) \rightarrow L^p(\Omega)$ is compact. The result (i) follows.

(ii) By [10, Theorem 2.3], for each $i \in I_n$, $F_i : P \rightarrow L^p_+(\Omega)$ is continuous. This, together with the result (i) implies that the result (ii) holds. \square

Let $\rho > 0$ and let $P_\rho = \{x \in P : \|x\| < \rho\}$, $\partial P_\rho = \{x \in P : \|x\| = \rho\}$ and $\overline{P}_\rho = \{x \in P : \|x\| \leq \rho\}$.

We need the following Leray-Schauder fixed point theorem (see [2]).

Lemma 2.2. (i) Assume that $A : \overline{P}_\rho \rightarrow P$ is a compact map and satisfies the following Leray-Schauder condition:

$$(LS) \quad z \neq \varrho Az \text{ for } x \in \partial P_\rho \text{ and } \varrho \in (0, 1].$$

Then A has a fixed point in P_ρ .

(ii) Assume that $A : P \rightarrow P$ is a compact map and satisfies

$$\lim_{\|x\| \rightarrow \infty} \frac{\|Ax\|}{\|x\|} < 1.$$

Then A has a fixed point in P .

We first give the following result on existence of positive solutions in $L^p(\Omega, \mathbb{R}^n)$ of (2.1) when $p \in (1, 2]$.

Theorem 2.3. *Assume that $p \in (1, 2]$, (h_1) – (h_3) hold and one of the following conditions hold.*

- (i) $1 < p < 2$.
- (ii) $p = 2$ and $b\|k\| < 1$,

where $b = \max\{b_i : i \in I_n\}$ and $\|k\| = \left(\int_{\Omega} \int_{\Omega} (k(t, s))^p ds dt\right)^{\frac{1}{p}}$.

Then (2.1) has a positive solution in $L^p(\Omega, \mathbb{R}^n)$.

Proof. By (2.4), we have

$$\|A_i \mathbf{z}\| \leq \|g_i\|_{L^p(\Omega)} + \|La_i\|_{L^p(\Omega)} + b_i \|k\| \|\mathbf{z}\|^{p-1}$$

and

$$\|A\mathbf{z}\| \leq \|g\| + \omega + b\|k\| \|\mathbf{z}\|^{p-1}, \quad (2.9)$$

where $\|g\| = \max\{\|g_i\|_{L^p(\Omega)} : i \in I_n\}$ and $\omega = \max\{\|La_i\|_{L^p(\Omega)} : i \in I_n\}$.

If $1 < p < 2$, then by (2.9) we have

$$\lim_{\|\mathbf{z}\| \rightarrow \infty} \frac{\|A\mathbf{z}\|}{\|\mathbf{z}\|} \leq \lim_{\|\mathbf{z}\| \rightarrow \infty} \frac{\|g\|}{\|\mathbf{z}\|} + \lim_{\|\mathbf{z}\| \rightarrow \infty} \frac{\omega}{\|\mathbf{z}\|} + \lim_{\|\mathbf{z}\| \rightarrow \infty} \frac{b\|k\|}{\|\mathbf{z}\|^{2-p}} = 0.$$

If $p = 2$, then by (2.9) we have

$$\lim_{\|\mathbf{z}\| \rightarrow \infty} \frac{\|A\mathbf{z}\|}{\|\mathbf{z}\|} \leq \lim_{\|\mathbf{z}\| \rightarrow \infty} \frac{\|g\|}{\|\mathbf{z}\|} + \lim_{\|\mathbf{z}\| \rightarrow \infty} \frac{\omega}{\|\mathbf{z}\|} + b\|k\| = b\|k\| < 1.$$

The result follows from Lemma 2.2 (ii). \square

When $n = 1$ and $\Omega = [a, b]$, existence of (not necessarily positive) solutions of (2.1) is obtained in [18, Theorem 6].

Now, we give new results on existence of positive solutions in $L^p(\Omega, \mathbb{R}^n)$ of (2.1) when $p \in [2, \infty)$.

Recall that the radius of the spectrum of the linear operator L , denoted by $r(L)$, is given by the well-known spectral radius formula

$$r(L) = \lim_{m \rightarrow \infty} \sqrt[m]{\|L\|^m}, \quad (2.10)$$

where $\|L\|$ is the norm of L . We write $\mu_1 = 1/r(L)$.

Notation: Let E be a fixed subset of $[0, 1]$ of measure zero and let

$$\overline{f_i}(\mathbf{z}) = \sup_{s \in \Omega \setminus E} f_i(s, \mathbf{z}) \quad \text{and} \quad (f_i)^\infty = \limsup_{|\mathbf{z}| \rightarrow \infty} \overline{f_i}(\mathbf{z})/|\mathbf{z}|.$$

Theorem 2.4. *Assume that $p \in [2, \infty)$, (h_1) , (h_2) , (h_4) hold, $r(L) > 0$ and the following condition holds.*

$$(f_i)^\infty < \mu_1 \quad \text{for each } i \in I_n.$$

Then (2.1) has a positive solution in $L^p(\Omega, \mathbb{R}^n)$.

Proof. Since $(f_i)^\infty < \mu_1$, there exist $\varepsilon > 0$ and $\rho_1 > 0$ such that for each $i \in I_n$,

$$f_i(s, \mathbf{z}) \leq (\mu_1 - \varepsilon)|\mathbf{z}| \quad \text{for a.e. } s \in \Omega \text{ and all } \mathbf{z} \in (\mathbb{R}_+^n)_{[\rho_1, \infty)}. \quad (2.11)$$

Let $\rho_2 > \max\{1, \rho_1\}$. Then when $p \in [2, \infty)$, we have

$$|\mathbf{z}| \leq |\mathbf{z}|^{p-1} \quad \text{for } \mathbf{z} \in (\mathbb{R}_+^n)_{[\rho_2, \infty)}.$$

This, together with (2.11), implies

$$f_i(s, \mathbf{z}) \leq (\mu_1 - \varepsilon)|\mathbf{z}| \leq (\mu_1 - \varepsilon)|\mathbf{z}|^{p-1} \quad \text{for a.e. } s \in \Omega \text{ and all } \mathbf{z} \in (\mathbb{R}_+^n)_{[\rho_2, \infty)}. \quad (2.12)$$

Let $u_0(s) = \max\{a_{i, \rho_2}(s) : i \in I_n\}$. Then $u_0 \in L_+^q(\Omega)$. By (h_4) and (2.12), we have

$$f_i(s, \mathbf{z}) \leq u_0(s) + (\mu_1 - \varepsilon)|\mathbf{z}|^{p-1} \quad \text{for a.e. } s \in \Omega \text{ and all } \mathbf{z} \in \mathbb{R}_+^n$$

and (h_3) holds.

Let $u(s) = \max\{a_{i, \rho_1}(s) : i \in I_n\}$. By (h_4) and (2.11), we have

$$f_i(s, \mathbf{z}) \leq u(s) + (\mu_1 - \varepsilon)|\mathbf{z}| \quad \text{for a.e. } s \in \Omega \text{ and all } \mathbf{z} \in \mathbb{R}_+^n. \quad (2.13)$$

Since $r((\mu_1 - \varepsilon)L) = (\mu_1 - \varepsilon)r(L) < 1$, $(I - (\mu_1 - \varepsilon)L)^{-1}$ exists and is bounded and satisfies

$$(I - (\mu_1 - \varepsilon)L)^{-1}(L_+^p(\Omega)) \subset L_+^p(\Omega). \quad (2.14)$$

Let $g(s) = \max\{g_i(s) : i \in I_n\}$. Then $g \in L_+^p(\Omega)$. Let

$$\rho^* = \|((I - (\mu_1 - \varepsilon)L)^{-1}(g + Lu))\|$$

and $\rho > \rho^*$. We prove

$$\mathbf{z} \neq \varrho A\mathbf{z} \quad \text{for } \mathbf{z} \in \partial P_\rho \text{ and } \varrho \in [0, 1]. \quad (2.15)$$

Indeed, if not, there exist $\mathbf{z} \in \partial P_\rho$ and $\varrho \in [0, 1]$ such that $\mathbf{z} = \varrho A\mathbf{z}$. By (2.13), we have for each $i \in I_n$,

$$z_i(s) \leq g(s) + Lu(s) + (\mu_1 - \varepsilon)(L|\mathbf{z}|)(s) \quad \text{for a.e. } s \in \Omega,$$

where $|\mathbf{z}|(s) = \max\{|z_i(s)| : i \in I_n\}$. Taking the maximum in the above inequality implies

$$|\mathbf{z}|(s) \leq g(s) + Lu(s) + (\mu_1 - \varepsilon)(L|\mathbf{z}|)(s) \quad \text{for a.e. } s \in \Omega$$

and $(I - (\mu_1 - \varepsilon)L)|\mathbf{z}|(s) \leq g(s) + Lu(s)$ for a.e. $s \in \Omega$. This, together with (2.14), implies

$$|\mathbf{z}|(s) \leq ((I - (\mu_1 - \varepsilon)L)^{-1}(g + Lu))(s) \quad \text{for a.e. } s \in \Omega.$$

Hence, we have

$$\rho = \|\mathbf{z}\| \leq \| |\mathbf{z}| \| \leq \|((I - (\mu_1 - \varepsilon)L)^{-1}(g + Lu))\| = \rho^* < \rho,$$

a contradiction. By (2.15) and Lemma 2.2 (i), (2.1) has a positive solution in P_ρ . \square

Note that both Theorems 2.3 and 2.4 contain the case when $p = 2$. However, in some cases, they are different. In fact, since $r(L) \leq \|L\| \leq \|k\|$, when $p = 2$ and $a_i \in L_+^\infty(\Omega)$, then (h_3) implies

$$(f_i)^\infty \leq b_i < \frac{1}{\|k\|} \leq \mu_1 \quad \text{for each } i \in I_n.$$

Hence, if $p = 2$ and $a_i \in L_+^\infty(\Omega)$, then Theorem 2.3 (ii) is a special case of Theorem 2.4. However, if $a_i \notin L_+^\infty(\Omega)$, Theorems 2.3 and 2.4 may not be same.

In Theorem 2.4, $r(L) > 0$ is required. In the following, we show that if $p \in [2, \infty)$ and k is symmetric, then $r(L) > 0$.

Lemma 2.5. *Assume that $p \in [2, \infty)$ and k satisfies (h_2) and the following condition:*

$$(S) \quad k(t, s) = k(s, t) \text{ for } t, s \in \Omega \text{ and } k(t, s) \neq 0 \text{ a.e. on } \Omega \times \Omega.$$

Then $r(L) \in (0, \infty)$.

Proof. Since $p \in [2, \infty)$ and $\text{meas}(\Omega) \in (0, \infty)$, we have

$$L^p(\Omega, \mathbb{R}^n) \subset L^2(\Omega, \mathbb{R}^n) \subset L^q(\Omega, \mathbb{R}^n) \quad (2.16)$$

and by (h_2) we obtain

$$\int_{\Omega} \int_{\Omega} |k(t, s)|^2 ds dt < \infty.$$

It follows from (S) that $L|_{L^2(\Omega)} : L^2(\Omega) \rightarrow L^2(\Omega)$ is a compact self-adjoint linear operator and $L|_{L^2(\Omega, \mathbb{R})}$ has a nonzero real eigenvalue denoted by λ_0 . Hence,

$$r(L|_{L^2(\Omega)}) \geq |\lambda_0| > 0.$$

Since $r(L) \geq r(L|_{L^2(\Omega)})$, we obtain $r(L) > 0$. □

By Lemma 2.5 and Theorem 2.4, we obtain the following result.

Corollary 2.6. *If the condition $r(L) > 0$ in Theorem 2.4 is replaced by the condition (S) of Lemma 2.5, then (2.1) has a positive solution in $L^p(\Omega, \mathbb{R}^n)$.*

We refer to [3, Theorem 5.8] and [7, Theorem 3.4.1] for results on nonzero positive solutions under superlinear conditions, where $n = 1$.

As illustration, we consider existence of positive solutions in $L^p(\Omega, \mathbb{R}^n)$ of the following system

$$z_i(t) = g_i(t) + \int_{\Omega} k(t, s)[a_i(s) + u_i(s)|z|_0^{\alpha_i}(s) + v_i(s)|z|_0^{\beta_i}(s)] ds \quad \text{a.e. on } \Omega \text{ and } i \in I_n, \quad (2.17)$$

where $|\cdot|_0$ denotes a norm in \mathbb{R}^n .

Since $|\cdot|$ and $|\cdot|_0$ are norms in \mathbb{R}^n , there exists $\sigma > 0$ such that

$$|z|_0 \leq \sigma|z|. \quad (2.18)$$

Theorem 2.7. *Assume that $p \in [2, \infty)$, (h_1) , (h_2) and the condition (S) of Lemma 2.5 hold and for each $i \in I_n$, the following conditions hold.*

$$(i) \quad a_i \in L_+^\infty(\Omega).$$

- (ii) $0 < \alpha_i < 1$ and $u_i, v_i \in L^{\infty}_+(\Omega)$.
- (iii) One of the following conditions holds:

- (C₁) $0 < \beta_i < 1$.
- (C₂) $\beta_i = 1$ and $\|v_i\|_{C(\Omega)} < \mu_1/\sigma$, where σ is same as in (2.18).

Then (2.17) has a positive solution in $L^p(\Omega, \mathbb{R}^n)$.

Proof. For each $i \in I_n$, we define a function $f_i : \Omega \times \mathbb{R}^n_+ \rightarrow \mathbb{R}_+$ by

$$f_i(s, \mathbf{z}) = a_i(s) + u_i(s)|\mathbf{z}|_0^{\alpha_i} + v_i(s)|\mathbf{z}|_0^{\beta_i}.$$

Then f_i satisfies Carathéodory conditions. For each $r > 0$, let

$$a_{i,r}(s) = a_i(s) + u_i(s)\sigma^{\alpha_i}r^{\alpha_i} + v_i(s)\sigma^{\beta_i}r^{\beta_i}.$$

Then $a_{i,r} \in L^{\infty}_+(\Omega) \subset L^q_+(\Omega)$ and we have for a.e. $s \in \Omega$ and all $\mathbf{z} \in (\mathbb{R}^n_+)_{[0,r]}$,

$$f_i(s, \mathbf{z}) \leq a_i(s) + u_i(s)\sigma^{\alpha_i}|\mathbf{z}|^{\alpha_i} + v_i(s)\sigma^{\beta_i}|\mathbf{z}|^{\beta_i} \leq a_{i,r}(s). \tag{2.19}$$

Hence, (h₄) holds. By (2.19), we obtain

$$\overline{f_i}(\mathbf{z}) \leq \|a_i\|_{L^{\infty}(\Omega)} + \|u_i\|_{L^{\infty}(\Omega)}\sigma^{\alpha_i}|\mathbf{z}|^{\alpha_i} + \|v_i\|_{L^{\infty}(\Omega)}\sigma^{\beta_i}|\mathbf{z}|^{\beta_i} \quad \text{for } i \in I_n. \tag{2.20}$$

If (C₁) holds, then by (2.20) we have

$$(f_i)^{\infty} = \limsup_{|\mathbf{z}| \rightarrow \infty} \overline{f_i}(\mathbf{z})/|\mathbf{z}| = 0 < \mu_1.$$

If (C₂) holds, then by (2.20) we have

$$(f_i)^{\infty} = \limsup_{|\mathbf{z}| \rightarrow \infty} \overline{f_i}(\mathbf{z})/|\mathbf{z}| \leq \|v_i\|\sigma < \mu_1.$$

The result follows from Corollary 2.6. □

Remark 2.8. There are a lot of functions g_i and kernels k which satisfy the conditions of Theorem 2.7. For example, for each $i \in I_n$, let $\alpha_i \in (0, 1/p)$, $g_i(t) = 1/t^{\alpha_i}$ for $t \in (0, 1)$ and

$$k(t, s) = \begin{cases} s(1-t), & \text{if } 0 \leq s \leq t \leq 1, \\ t(1-s), & \text{if } 0 \leq t < s \leq 1, \end{cases} \tag{2.21}$$

then $g_i \in L^p(0, 1)$ and by [22, Theorem 5.1], we obtain $\mu_1 = \pi^2$. The kernel k can be replaced by a more general kernel arising from the separated boundary conditions given in [22, section 5].

ACKNOWLEDGMENTS

The author was supported in part by the Natural Sciences and Engineering Research Council (NSERC) of Canada.

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